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Governance, Risk Management, and Compliance: It Can't Happen to Us--Avoiding Corporate Disaster While Driving Success

By Richard M. Steinberg



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Governance, Risk Management, and Compliance: It Can't Happen to Us--Avoiding Corporate Disaster While Driving Success By Richard M. Steinberg

An expert's insider secrets to how successful CEOs and directors shape, lead, and oversee their organizations to achieve corporate goals

Governance, Risk Management, and Compliance shows senior executives and board members how to ensure that their companies incorporate the necessary processes, organization, and technology to accomplish strategic goals. Examining how and why some major companies failed while others continue to grow and prosper, author and internationally recognized expert Richard Steinberg reveals how to cultivate a culture, leadership process and infrastructure toward achieving business objectives and related growth, profit, and return goals.

- Explains critical factors that make compliance and ethics programs and risk management processes really work
- Explores the board's role in overseeing corporate strategy, risk management, CEO compensation, succession planning, crisis planning, performance measures, board composition, and shareholder communications
- Highlights for CEOs, senior management teams, and board members the pitfalls to avoid and what must go right for success
- Outlines the future of corporate governance and what's needed for continued effectiveness
- Written by well-known corporate governance and risk management expert Richard Steinberg

Governance, Risk Management, and Compliance lays a sound foundation and provides critical insights for understanding the role of governance, risk management, and compliance and its successful implementation in today's business environment.



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- Sales Rank: #535327 in Books
- Published on: 2011-08-02
- Original language: English
- Number of items: 1
- Dimensions: 9.30" h x 1.20" w x 6.30" l, 1.25 pounds
- Binding: Hardcover
- 336 pages

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Editorial Review

From the Inside Flap

While facing various circumstances in different industries, many once-great organizations have watched their fortunes sink, while others ride the wave of economic turbulence to grow and reap the rewards of success. *Governance, Risk Management, and Compliance* examines this trend, with a realistic look at what separates the organizations that effectively achieve their goals—regardless of the circumstances—from the ones that wonder, "How did this happen to us?"

Renowned corporate governance and risk management expert Richard Steinberg—advisor to major multinationals' boards and CEOs and author of *Corporate Governance and the Board—What Works Best*, as well as principal author of COSO's internal control and ERM frameworks—helps you better understand the factors that make up the critical infrastructure that drives every organization. Here, you'll discover what must go right to prevent catastrophes and seize opportunities for continued success.

Steinberg provides authoritative insight into the essential collaboration necessary between senior managers and members of the board of directors, with timely discussion of:

- Critical factors for making compliance, ethics, and risk management processes truly effective
- How CEOs and senior management teams cultivate a culture and leadership process to support and drive performance
- How boards of high-achieving companies oversee corporate strategy, risk management, CEO compensation, succession planning, crisis planning, performance measures, board composition, and shareholder communications
- The pitfalls that managers and boards need to avoid
- The future of corporate governance and what's needed for continued effectiveness

With clear guidance on aligning processes, organization, and technology so your company achieves its strategic goals, *Governance, Risk Management, and Compliance* explains how to protect your company from financial and reputational risk, litigation, and government intervention and avoid the kinds of disasters that can befall any organization.

From the Back Cover

Praise for Governance, Risk Management, and Compliance

"Rick Steinberg is a time-tested expert in this ever more essential field. His refreshing candor in assessing recent shortfalls makes this book a must-read for corporate leaders." —Mark R. Fetting, Chairman and CEO, Legg Mason, Inc.

"This outstanding book provides a critically important perspective on how risk management can only be truly achieved by aligning culture, strategy, compliance programs, and compensation. It should be must reading for any board member concerned with improving the management of risk."—Jay Lorsch, Louis E. Kirstein Professor of Human Relations, Harvard Business School

"A comprehensive and insightful examination of corporate governance. A must-read for those of us who are CEOs and serve on public boards" —Randall L. Clark, Chairman and CEO, Dunn Tire LLC; former

Chairman and CEO, Dunlop Tire North America

"Attention directors and officers: Ignore this book at your own peril. Richard Steinberg has crafted a careful, thoughtful approach to managing risks, and it should be required reading for Corporate America."—Scott S. Cohen, founder and former Editor and Publisher, *Compliance Week*

"Richard Steinberg's comprehensive and clearly written work will substantially benefit both new and experienced directors. It will help corporate boards recognize the challenging forces businesses face, as well as the techniques and standards available to intelligently monitor and supervise firms and their senior management. An easy and engaging read, this book should be on the bookshelf of every corporate director."—William T. Allen, Director, NYU Pollack Center of Law & Business; former Chancellor, Court of Chancery of the State of Delaware

"Richard Steinberg, a respected and time-proven governance hand, has written a most enjoyable and thought-provoking work—an excellent addition to anyone's governance shelf!" —Charles Elson, Edgar S. Woolard, Jr., Chair in Corporate Governance and Director of the Weinberg Center for Corporate Governance, University of Delaware

About the Author

RICHARD M. STEINBERG is founder and CEO of Steinberg Governance Advisors, Inc. He is a nationally recognized expert on governance, risk, and control, and advises boards of directors of major multinational, large, and middle-market companies. He is a former senior partner of PricewaterhouseCoopers (PwC) and the leader of its corporate governance advisory practice. As an expert in internal control and risk management, Steinberg served as the lead project partner in developing the Committee of Sponsoring Organizations of the Treadway Commission's (COSO's) *Internal Control—Integrated Framework*, and led development of COSO's Enterprise Risk Management—Integrated Framework, the landmark reports recognized as standards for effective internal control and risk management. He has been featured on CNBC's *Morning Call* and Bloomberg TV's *Bloomberg on the Markets* and *The Bloomberg Report*; has guest-lectured at leading business schools including Columbia, MIT, and NYU; has been quoted in publications such as *BusinessWeek*, *Fortune*, the *Wall Street Journal*, Dow Jones MarketWatch, CNN Money, and the *Financial Times*; and is a monthly columnist for *Compliance Week*.

Users Review

From reader reviews:

Connie Sims:

This book untitled Governance, Risk Management, and Compliance: It Can't Happen to Us--Avoiding Corporate Disaster While Driving Success to be one of several books that will best seller in this year, honestly, that is because when you read this guide you can get a lot of benefit into it. You will easily to buy this book in the book shop or you can order it by means of online. The publisher with this book sells the e-book too. It makes you quickly to read this book, as you can read this book in your Smart phone. So there is no reason to you personally to past this e-book from your list.

Rachel Robbins:

Why? Because this Governance, Risk Management, and Compliance: It Can't Happen to Us--Avoiding

Corporate Disaster While Driving Success is an unordinary book that the inside of the book waiting for you to snap the idea but latter it will jolt you with the secret this inside. Reading this book alongside it was fantastic author who else write the book in such amazing way makes the content interior easier to understand, entertaining technique but still convey the meaning completely. So , it is good for you because of not hesitating having this anymore or you going to regret it. This amazing book will give you a lot of positive aspects than the other book possess such as help improving your ability and your critical thinking means. So , still want to hesitate having that book? If I ended up you I will go to the reserve store hurriedly.

James Moore:

This Governance, Risk Management, and Compliance: It Can't Happen to Us--Avoiding Corporate Disaster While Driving Success is brand new way for you who has curiosity to look for some information since it relief your hunger details. Getting deeper you on it getting knowledge more you know or perhaps you who still having tiny amount of digest in reading this Governance, Risk Management, and Compliance: It Can't Happen to Us--Avoiding Corporate Disaster While Driving Success can be the light food for yourself because the information inside that book is easy to get by simply anyone. These books produce itself in the form which can be reachable by anyone, yes I mean in the e-book web form. People who think that in publication form make them feel drowsy even dizzy this publication is the answer. So you cannot find any in reading a book especially this one. You can find what you are looking for. It should be here for you actually. So , don't miss the idea! Just read this e-book kind for your better life along with knowledge.

Alice Billups:

What is your hobby? Have you heard in which question when you got scholars? We believe that that question was given by teacher to the students. Many kinds of hobby, All people has different hobby. Therefore you know that little person similar to reading or as reading through become their hobby. You need to know that reading is very important along with book as to be the factor. Book is important thing to increase you knowledge, except your own personal teacher or lecturer. You see good news or update with regards to something by book. Many kinds of books that can you take to be your object. One of them is this Governance, Risk Management, and Compliance: It Can't Happen to Us--Avoiding Corporate Disaster While Driving Success.

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